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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

6

Application Number

10/600,041

Filing Date

June 20, 2003

First Named Inventor

Gutman, et al

Art Unit

N/A

Examiner Name

N/A

Attorney Docket Number

2088

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
 - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
References: US 6,210,609 B1; US 4,767,486 B1; and JP-402182433A B2
- Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Energy Conversion Devices, Inc.

Signature

Printed name

Anthony J. Serventi

Date

October 22, 2004

Reg. No.

42,141

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

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Melinda Cihone

Date

October 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patent Application Serial No.: 10/600,041

Attorney Docket No.: 2088

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gutman, et al.

Serial Number: 10/600,041

Filed: June 20, 2003

Title: METHOD AND APPARATUS FOR PRODUCING OPTICAL DISK
SUBSTRATES

Group Art Unit: N/A

Examiner: N/A

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)(2)

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicants submit this statement in accordance with the duty of disclosure under 37 CFR 1.56. This statement is filed in accordance with 37 CFR 1.97(c)(2). This document is filed before receipt of the first office action. Therefore, no fees are due at this time.

Applicant hereby notifies the US Patent and Trademark Office of the items listed on forms PTO/SB/08A, submitted herewith.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to the antedate or otherwise remove the listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

Anthony J. Serventi

Attorney No. 42,141

Energy Conversion Devices, Inc.

2956 Waterview Drive

Rochester Hills, MI 48309

Ph: (248) 293-6045

Fax: (248) 844-2273



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Application Number	10/600,041
Filing Date	June 20, 2003
First Named Inventor	George Gutman
Art Unit	
Examiner Name	
Attorney Docket Number	2088

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